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Abstract:	Seven strains representing a novel yeast species belonging to the genus Kazachstania were found at several collection sites on both mainland Ecuador (Yasuní National Park) and the Galápagos (Santa Cruz Island). Two strains (CLQCA 20-132T and CLQCA 24SC-045) were isolated from rotten wood samples, two further strains (CLQCA 20-280 and CLQCA 20-348) were isolated from soil samples, and three strains (CLQCA 20-198, CLQCA 20-374 and CLQCA 20-431) were isolated from decaying fruits. Sequence analyses of the D1/D2 domains of the large-subunit (LSU) rRNA gene and ribosomal internal transcribed spacer (ITS) region indicated that the novel species is most closely related to Kazachstania servazzii and Kazachstania unispora. Although the strains could not be distinguished from one another based upon their differing geographical origins, they could be differentiated according to their isolation source (fruit, soil or wood) by ITS sequencing. The species name of Kazachstania yasuniensis sp. nov. is proposed to accommodate these strains, with CLQCA 20-132T (=NCYC 4008T) designated as the type strain.

1 *Kazachstania yasuniensis* sp. nov., a novel ascomycetous yeast species found

2 in mainland Ecuador and on the Galápagos

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- The GenBank/EMBL/DDBJ accession numbers for the LSU D1/D2 and ITS sequences of
 CLQCA 20-132^T are HG934855 and HG934852, respectively.
- 21 The MycoBank number for *Kazachstania yasuniensis* sp. nov. CLQCA 20-132^T is MB810753

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- 23 Running Title: Kazachstania yasuniensis sp. nov.
- **Category**: New Taxa (Subsection: Eukaryotic Microorganisms)

25 ABSTRACT

26 Seven strains representing a novel yeast species belonging to the genus Kazachstania were found at several collection sites on both mainland Ecuador (Yasuní National Park) and the 27 Galápagos (Santa Cruz Island). Two strains (CLQCA 20-132^T and CLQCA 24SC-045) were 28 isolated from rotten wood samples, two further strains (CLQCA 20-280 and CLQCA 20-348) 29 were isolated from soil samples, and three strains (CLQCA 20-198, CLQCA 20-374 and 30 31 CLQCA 20-431) were isolated from decaying fruits. Sequence analyses of the D1/D2 domains of the large-subunit (LSU) rRNA gene and ribosomal internal transcribed spacer 32 (ITS) region indicated that the novel species is most closely related to Kazachstania 33 servazzii and Kazachstania unispora. Although the strains could not be distinguished from 34 one another based upon their differing geographical origins, they could be differentiated 35 according to their isolation source (fruit, soil or wood) by ITS sequencing. The species name 36 of Kazachstania yasuniensis sp. nov. is proposed to accommodate these strains, with 37 CLQCA 20-132^T (=CBS 13946^T =NCYC 4008^T) designated as the type strain. 38

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Keywords: Yeast, ascomycota, *Kazachstania*, Yasuní, Galápagos archipelago, novel
species

42 The genus Kazachstania was first proposed by Zubkova in 1971 with the description of Kazachstania viticola, a yeast isolated from fermenting grapes in Kazachstan (Zubkova, 43 1971). Later in the same decade, the taxonomic status of K. viticola was re-assessed by Von 44 Arx et al. (1977), and it was considered to be a synonym of Saccharomyces dairenensis. 45 46 However, the genus was re-introduced and redefined in 2003 (Kurtzman, 2003), to accommodate K. viticola (type species) and 19 other species from the genera Arxiozyma, 47 Kluyveromyces, Pachyticospora, and Saccharomyces (sensu lato). This resulted from a 48 detailed multigene sequence analysis carried out by Kurtzman and Robnett (2003) to 49 examine the phylogenetic relationships and genus boundaries of the ca. 80 species 50 belonging to the 'Saccharomyces complex'. In the most recent (fifth) edition of 'The Yeasts, 51 A Taxonomic Study', 32 species were listed as belonging to the genus (Vaughan-Martini et 52 53 al. 2011). Since then, the genus has continued to increase in size as additional species have 54 been discovered and described including K. bromeliacearum, K. ichnusensis, K. intestinalis, K. pyschrophila, K. rupicola, K. taianensis, K. wufongensis (Lee et al. 2009; Chen et al. 55 56 2010; Suh & Zhou, 2011; Araújo et al. 2012; Cardinali et al. 2012; Kabisch et al. 2013; Safar et al. 2013). 57

On the basis of multigene sequencing, using both nuclear- and mitochondrial-encoded 58 59 genes, Kurtzman and Robnett (2003) resolved the genus into three main lineages (see Fig. 60 1; Kurtzman & Robnett, 2003). However, with only moderate statistical support (bootstrap value, 76%), Kurtzman (2003) concluded that the newly redefined genus was likely to be 61 provisional, as the species assigned to it were clearly polyphyletic. A similar result was 62 obtained more recently by Vaughan-Martini et al. (2011) using LSU D1/D2 sequences, 63 64 where at least five separate subgroups/subclades, with varying statistical support, were identified (see Fig. 34.1; Vaughan-Martini et al. 2011). The overall consensus is that as 65 additional species are discovered and further multigene sequencing is carried out, stronger 66 and more reliable species partitioning can be achieved (Kurtzman, 2003; Lu et al., 2004; 67 Vaughan-Martini et al., 2011). If so, then this in turn will inevitably lead to a reclassification of 68

the genus as presently defined, and result in the creation of a number of new sister genera
(Kurtzman, 2003; Vaughan-Martini *et al.*, 2011).

71 From a phenotypic perspective, there are no distinctive morphological or physiological traits 72 that can reliably delineate the genus Kazachstania (Kurtzman, 2003; Kurtzman & Robnett, 2003). This lack of phenotypic identity is a characteristic common to many of the recently 73 created genera that have been defined from phylogenetic analysis (e.g. Wickerhamomyces; 74 75 Kurtzman, 2011). Species of the genus Kazachstania have been isolated from a wide variety of habitats, such as animals, fermented foods, fruit, leaves, mushrooms, silage, soil and 76 wastewater (Wu & Bai, 2005; Limtong et al., 2007; Nisiotou & Nychas, 2008; Lee et al. 2009; 77 Chen et al. 2010; Vaughan-Martini et al. 2011). Some species are heterothallic (e.g. K. 78 gamospora and K. zonata; Imanishi et al., 2007), whereas others are homothallic (e.g. K. 79 hellenica; Nisiotou & Nychas, 2008). 80

81 Since 2007, the Colección de Levaduras Quito Católica (CLQCA) has been conducting a 82 yeast bio-prospecting programme to catalogue and characterise the indigenous yeast 83 species present in the many differing ecological habitats found in Ecuador, both on the mainland and on the Galápagos Islands. To date, more than 3000 yeast strains have been 84 collected and a number of new species have been discovered and formally described, 85 86 including Candida carvajalis (James et al. 2009), Saturnispora quitensis (James et al. 2011) and most recently Wickerhamomyces arborarius (James et al. 2014). In October 2013, the 87 CLQCA carried out a preliminary study to catalogue the yeast diversity at several sites in the 88 Yasuní National Park (Yasuní), a 9,800 km² region of prime Amazonian rainforest habitat 89 situated in eastern Ecuador, approx. 250 km from Quito. Yasuní is widely recognised as 90 91 representing one of the most biologically diverse regions on Earth, and harbours the greatest variety of tree species found anywhere on the planet. Furthermore, many of the plants and 92 animals found there are endemic to the region (e.g. the bat species Lophostoma yasuni). 93 94 Yasuní is also incorporated within the territory of two indigenous tribes of people, the Tagaeri and Taromenan, who live in voluntary isolation from the outside world. One key objective of 95

the Yasuní yeast collecting project was to investigate whether or not it was possible to
isolate ethanol tolerant species (e.g. *Saccharomyces*) in this arboreal habitat. In order to do
this, a selective sampling and enrichment method, as developed by Sniegowski *et al.* (2002),
was used.

Here we describe the discovery of seven novel Kazachstania strains isolated at separate 100 sites on mainland Ecuador and in the Galápagos archipelago, and the formal taxonomic 101 102 description of a new Kazachstania species, Kazachstania yasuniensis sp. nov., to accommodate them. Six ethanol-tolerant Kazachstania strains were isolated from substrates 103 collected in the Yasuní National Park. All were isolated by enrichment culturing using a 104 medium containing 7.6% (v/v) ethanol (Sniegowski *et al.* 2002). One strain (CLQCA 20-132^T) 105 was isolated from rotten wood, two strains (CLQCA 20-280 and CLQCA 20-348) were 106 isolated from soil samples, and a further three strains (CLQCA 20-198, CLQCA 20-374 and 107 CLQCA 20-431) were isolated from decaying fruits. A seventh strain, CLQCA 24SC-045, 108 was subsequently identified following a re-examination of Kazachstania strains previously 109 110 collected during a 2009 trip to four of the human-inhabited islands of the Galápagos (i.e. Floreana, Isabela, San Cristobal and Santa Cruz). Strain CLQCA 24SC-045 was found at 111 Los Gemelos (approx. 600 m above sea level) on Santa Cruz Island, and was isolated from 112 113 a sample of rotten wood collected from a daisy tree (Scalesia pedunculata), a tree species endemic to the Galápagos. 114

The seven yeast strains were characterised biochemically, morphologically, and physiologically according to the standard methods described by Kurtzman *et al.* (2011). Growth temperature testing was determined by cultivation on YM (yeast extract-malt extract) agar. Sporulation tests were performed on cormeal agar, Gorodkowa agar, potassium acetate agar and YM agar, and plates were incubated at 25°C for 1 month in individual and mixed cultures.

The variable D1/D2 domains of the LSU rRNA gene and ribosomal ITS region were 121 amplified by PCR directly from whole yeast cell suspensions as described previously by 122 James et al. (1996). The LSU D1/D2 domain was amplified and sequenced using primers 123 NL1 and NL4 (O'Donnell, 1993). The ITS region was amplified using primers ITS5 and ITS4, 124 125 and sequenced using these primers as well as internal primers ITS2 and ITS3 (White et al. 1990). The amplified DNA was checked by 1.0% agarose gel electrophoresis, purified and 126 concentrated using QIAquick PCR purification spin columns (Qiagen). A NanoDrop 1000 127 spectrophotometer (Thermo Scientific) was used for measuring DNA concentration and 128 samples were sequenced by a commercial sequencing facility (Eurofins MWG Operon, 129 Germany). Sequence traces were edited manually and consensus sequences generated 130 using the program SEQMAN, version 7 (DNASTAR). The LSU D1/D2 sequences were 131 132 compared pairwise using a FASTA similarity search (Pearson & Lipman, 1988), and were aligned with the sequences of closely related taxa, retrieved from the EMBL sequence 133 134 database, using the multiple alignment program CLUSTAL W (Thompson et al., 1994), 135 included in the DNAMAN software package, version 5.1.5 (Lynnon BioSoft). A phylogenetic 136 tree was constructed from the combined sequences of the LSU D1/D2 and ITS regions 137 (including 5.8S rDNA) using the neighbour-joining method (Saitou & Nei, 1987), with the Jukes-Cantor distance measure, and Kazachstania aquatica used as the outgroup species. 138 139 Confidence limit values were estimated from bootstrap analyses of 1000 replicates (Felsenstein, 1985). 140

The LSU D1/D2 sequences of the six Yasuní strains CLQCA 20-132^T, CLQCA 20-198, CLQCA 20-280, CLQCA 20-348, CLQCA 20-374 and CLQCA 20-431 as well as the Galápagos strain CLQCA 24SC-045 were all found to be identical. A FASTA sequence similarity search of the EMBL fungal sequence database revealed no other yeast taxon with a LSU D1/D2 sequence identical to these strains. In terms of pairwise sequence similarity, the seven strains displayed 0.7% divergence (4 nt substitutions in 581 nt) with *Kazachstania servazzii and Kazachstania unispora*, and 1.0% divergence (6 nt substitutions in 572 nt) with

148 Kazachstania aerobia and Kazachstania solicola. Although somewhat limited, these levels of sequence divergence are comparable to those observed between Kazachstania aerobia and 149 Kazachstania unispora (4 nt substitutions in 572 nt), and between Kazachstania servazzii 150 and Kazachstania unispora (6 nt substitutions in 572 nt). In fact, they are notably greater 151 152 than those observed between Kazachstania aerobia and Kazachstania servazzii, whose LSU D1/D2 sequences differ by only two nucleotide substitutions (in 572 nt), and between 153 Kazachstania aerobia and Kazachstania solicola, which have identical LSU D1/D2 154 155 sequences.

As reported previously by Vaughan-Martini et al. (2011), the four member species of the K. 156 unispora subclade (viz. K. aerobia, K. servazzii, K. solicola and K. unispora) are closely 157 related to one another, and form a distinct species group within the genus. Reliable 158 taxonomic resolution of these Kazachstania species based on LSU D1/D2 sequences is at 159 best limited and in the case of K.aerobia and K. solicola impossible. Levels of sequence 160 divergence in this rDNA region range from 0 (between K.aerobia and K. solicola) to 6 nt 161 substitutions (between K. servazzii and K. unispora). However, despite their close 162 phylogenetic relationships based on LSU D1/D2 sequences, the four current members of the 163 K. unispora subclade as well as the Ecuadorian novel species can be readily distinguished 164 from one another by ITS sequencing. Levels of ITS sequence divergence are significantly 165 greater, ranging from 22 nt subsitutions and 3 indels (in 659 nts) between K. aerobia and K. 166 167 servazzii, to 36 nt substitutions and 15 indels (in 671 nts) between K. solicola and K. 168 unispora. In the case of the novel species, this taxon differs from its two closest relatives K. servazzii and K. unispora by 26 nt substitutions and 7 indels (in 659 nts), and by 28 nt 169 substitutions and 7 indels (655 nts), respectively. 170

Furthermore, the levels of ITS sequence divergence are such that the seven Ecuadorian strains can be differentiated into three separate sub-groups based upon the type of substrate from which each was isolated. The two strains isolated from rotten wood (CLQCA 20-132^T and CLQCA 24SC-045) can be distinguished from the two soil strains (CLQCA 20-280 and

175 CLQCA 20-348) based on two nt substitutions in the ITS1 region, and from the three 176 decaying/rotten fruit strains based on three nt substitutions in the ITS2 region. Interestingly, 177 and perhaps rather unexpectedly, CLQCA 20-132^T and CLQCA 24SC-045 were found to 178 have identical ITS sequences, despite the fact that one was isolated on the mainland in 179 eastern Ecuador (CLQCA 20-132^T) while the other was isolated approx. 1700 km to the west 180 in the Galápagos archipelago. A comparative alignment of the ITS sequences for all seven 181 novel *Kazachstania* strains is shown in Supplementary Fig. S1 (available in IJSEM Online).

At present, there are relatively few ITS sequences available for strains belongings to the K. 182 unispora subclade. In their study of yeast biota involved in silage deterioration, Lu et al. 183 (2004) characterised both K. aerobia strains (NS14^T and NS26) upon which the species 184 description is based and found them to have identical ITS sequences. Likewise, in their 185 phylogenetic study of the 'Saccharomyces complex', Kurtzman and Robnett (2003) 186 examined two strains of *K. unispora*, including the type strain (NRRL Y-1556^T), and found 187 them to have identical ITS sequences. The ITS sequences for two additional K. unispora 188 189 strains, one from fermented orange juice and the other from nasal mucus (GenBank accession nos AF321542 and AF455430, respectively) differ from that of the type strain by a 190 single indel in the ITS2 region. Collectively, this data would suggest that the ITS sequences 191 192 of these Kazachstania species are well conserved, and exhibit very limited intra-specific 193 variation. This supports our proposal that the seven Ecuadorian strains clearly belong to a distinct species, rather than simply represent South American variants of K. unispora. 194

The levels of LSU D1/D2 sequence divergence exhibited by members of the *K. unispora* subclade are extremely low (ranging from 0 to 6 nt substitutions), which makes accurate species delineation difficult. However, as Figure 1 demonstrates, far better and more statistically significant resolution can be achieved by combining LSU D1/D2 and ITS sequences. Using this approach, the four known species along with the novel Ecuadorian species can be readily distinguished from one another. This includes *K. aerobia* and *K. solicola* which, as reported previously by Wu and Bai (2005), have identical D1/D2

sequences. Indeed, as this and previous studies have shown, ITS sequencing represents a
far more reliable method of species discrimination for *K. unispora* and its close relatives (Lu *et al.*, 2004; Wu & Bai, 2005).

Based upon the origins of the seven strains reported here, it would seem plausible to speculate that the ecological niche of *K. yasuniensis* sp. nov. is possibly an arboreal habitat. Although the strains were isolated from three different substrates, namely decaying fruits, rotten wood and soil, all were found in densely wooded environments. The six Yasuní strains were collected at separate sites within the Amazonian rainforest region of eastern Ecuador, while the Galápagos strain was found in a *Scalesia* forest in the highlands of Santa Cruz Island.

An ecological analysis of the Ecuadorian yeast strains registered in the CLQCA database 212 was recently performed in order to develop a simple mathematical model for calculating how 213 well individual yeast species have adapted to the differing habitats found in Ecuador 214 215 (Carvajal et al. 2014). In this study, a set of 881 yeast strains, representing 104 species, 216 were analysed using a mathematical approach which focused on the number of different natural regions of Ecuador each species was found to colonize as well as the number of 217 different types of substrate from which they had been isolated. From these analyses it was 218 219 possible to calculate the Relative Specialization Index (Si) for each species. The Si value measures the degree of specialization related to the habitats and substrates studied. Thus, 220 221 the higher the Si value, the more specialized the yeast species. In Ecuador it was possible to find yeast species exhibiting Si values ranging from 0.02 (generalist) to 0.92 (specialist) 222 223 (Carvajal et al. 2014).

With regard to *K. yasuniensis* sp. nov., the *Si* value was calculated to be 0.62. This meant it grouped with the majority of yeast species (67%) analysed which were found to be highly specialized and restricted to a small number of habitats and substrates, both on mainland Ecuador and in the Galápagos archipelago (Carvajal *et al.* 2014). Other species sharing the

same Si value as K. yasuniensis sp. nov. included Candida ecuadorensis, C. natalensis, C.
oleophila, Geotrichum silvicola, Hanseniaspora meyeri, Rhodotorula glutinis, and
Wickerhamiella occidentalis. Although the actual distribution of each of these species
differed from that of K. yasuniensis sp. nov.

From the same study it was also possible to establish a correlation between the percentage 232 of plant species that were originally from the mainland and which had subsequently 233 234 migrated, via different means of dispersal (e.g. birds), to the oceanic archipelago. In 1976, Porter (1976) determined that ~30% of all vascular plant species found in the Galápagos 235 have a Neotropical origin. Remarkably in their more recent study, Carvajal et al. (2014) 236 identified that 31% of the yeast species isolated on the Galápagos Islands were also found 237 on mainland Ecuador. This would indicate that plant dispersal may have played an important 238 role in the dispersal of yeast species from the mainland to the archipelago. However, it is as 239 yet unclear as to how a species such as K. yasuniensis sp. nov., which to date has only 240 been found in the Ecuadorian Amazon, could have been introduced into the Galápagos 241 242 Islands. Further sampling will be need to be carried out in order to gain a better insight into 243 the origins and distribution of this novel Kazachstania species, and to establish how it may have been dispersed from the mainland to the Galápagos archipelago. 244

245 Physiologically, the species group of K. aerobia, K. servazzii, K. solicola, K. unispora and K. yasuniensis sp. nov. are very similar to one another. Supplementary Table S1 lists the key 246 characteristics that can be used to differentiate between the five Kazachstania species. With 247 regard to the novel species, the assimilation of trehalose and ethanol as well as growth on 248 249 ethylamine hydrogen chloride and sodium chloride (10%) appear to be variable growth characteristics. Kazachstania yasuniensis sp. nov. differs from its closest genealogical 250 251 relatives K. unispora (Fig. 1) on its ability to assimilate sucrose (positive or delayed) and inability to grow at 37°C, and from K. servazzii (Fig. 1) on its ability to grow in the presence 252 253 of 0.01% cycloheximide and inability to assimilate glycerol. In view of the fact that these five species have such similar overall phenotypic profiles, making accurate discrimination 254

difficult, we strongly recommend that ITS sequencing should be adopted as a more reliableand robust method for determining species identity.

257 The K. unispora subclade, which with the discovery of K. yasuniensis sp. nov. now comprises of five closely related species, represents a distinct and statistically well-258 supported species group within the genus Kazachstania (Fig. 1). The five species have 259 similar overall phenotypes, and whilst not a distinct characteristic of the subclade each 260 261 typically forms persistent asci which are transformed directly from vegetative cells and contain one spheroidal ascospore each (Lu et al., 2004; Wu & Bai, 2005; Vaughan-Martini et 262 al., 2011; this study). Results from the present study as well as from previous studies would 263 strongly suggest that these five species represent a separate genus (Lu et al., 2004; Wu & 264 Bai, 2005). However, it is also evident that while the genus as currently defined appears to 265 be polyphyletic (Kurtzman, 2003; Kurtzman & Robnett, 2003; Wu & Bai, 2005; Vaughan-266 Martini et al., 2011), further multigene sequencing is still required to establish clear, and well-267 defined genus boundaries prior to any future reclassification of these yeasts. 268

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Description of *Kazachstania yasuniensis* James, Carvajal, Portero, Nueno-Palop, Bond & Roberts, sp. nov.

Kazachstania yasuniensis (ya.su.ni'en.sis. N.L. fem. adj. yasuniensis of or belonging to
Yasuní, where the majority of these yeasts were found).

In YM broth, after 2 days of incubation at 25°C, cells are ovoid (4-6 x 5-10 μm) and occur singly, in pairs, in short chains or in groups (Fig. 2a). Budding is multilateral. Sediment is formed after 1 month, but no pellicle is observed. In Dalmau plate culture on corn meal agar, pseudohyphae are not formed. Sporulation observed on cornmeal agar, Gorodkowa agar, potassium acetate agar and YM agar after 3-7 days at 25°C; vegetative cells transform directly into persistent asci each containing one spheroidal ascospore (Fig. 2b).

Glucose and galactose are fermented, but not sucrose, maltose, lactose, melibiose, 280 melezitose, raffinose, trehalose, starch, cellobiose, inulin, D-xylose or methyl α-D-glucoside. 281 Glucose, sucrose (positive or latent), raffinose (latent but weak), galactose, trehalose 282 (seldom positive) and ethanol (latent but weak or negative) are assimilated. No growth 283 occurs on inulin, melibiose, lactose, maltose, melezitose, methyl α-D-glucoside, starch, 284 cellobiose, salicin, L-sorbose, L-rhamnose, D-xylose, L-arabinose, D-arabinose, D-ribose, 285 methanol, glycerol, erythritol, ribitol, galactitol, D-mannitol, D-glucitol, inositol, DL-lactate, 286 succinate, citrate, D-glucosamine, glucono-D-lactone or xylitol. Cadaverine (latent) and 287 ethylamine hydrochloride (latent or negative) are assimilated. No growth occurs on lysine or 288 nitrate. Growth occurs at 30°C, but not at 37°C. Growth occurs on YM agar with 10% (w/v) 289 NaCl (variable) and on 100 ug cycloheximide ml⁻¹. No growth occurs on 50% glucose/yeast 290 291 extract. Starch-like compounds are not produced.

The type strain, CLQCA $20-132^{T}$ (=CBS 13946^{T} = NCYC 4008^{T}), was isolated in October 2013 from a rotten wood sample collected in the Yasuní National Park, Ecuador. The Mycobank deposit number is MB810753.

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392 Figure Legends

Fig. 1. Neighbour-joining dendrogram based on the combined sequences of the LSU D1/D2 and ITS regions (including 5.8S rDNA) of *Kazachstania yasuniensis* sp. nov. and its closest relatives. Species names are followed by CBS, CLQCA or NRRL strain accession numbers and, respectively, the EMBL/GenBank accession numbers for the LSU D1/D2 and ITS regions. *Kazachstania aquatica* was used as the outgroup species for the analysis. Bootstrap values of ≥50%, determined from 1000 replicates, are shown at branch nodes. Bar, 1 base substitutions per 100 nt.

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Fig. 2. *Kazachstania yasuniensis* sp. nov. CLQCA 20-132^T. (a) Scanning electron
microscopic image of vegetative cells grown in YM broth for 2 days at 25°C with agitation.
Bar, 10 μm. (b) Photomicrograph of asci formed on YM agar after 3 days at 25°C. Bar, 10
μm.



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Supplementary Data Click here to download Supplementary Material Files: James et al_Supplementary Data.pdf